

424 Rec'd PCT/PTO 0 1 FEB 2000

Docket No. : **KO-33PCT**
U.S. Application No. : **09/402,581**
International Application No. : **PCT/DE98/00903**
International Filing Date. : **March 28, 1998 (28. März 1998)**
Priority Date Claimed : **April 8, 1997 (8 April 1997)**
Title of Invention : **METHOD AND CIRCUIT FOR OPERATING AN ELECTRICAL LIGHT**
Applicant(s) for (DO/E0/US) : **Norbert Becker**

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S. Lange
2/19/00

Applicant herewith submits to the United States Designated/Elected Office (DO/E0/US) the following items and other information:

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures 35 U.S.C. 371 (f) at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed [35 U.S.C. 371(c)(2)].
 - a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b) ☐ has been transmitted by the international Bureau.
 - c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English [35 U.S.C.371(c)(2)].
7. ☐ Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C.371(c)(3)].
 - a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b) ☐ have been transmitted by the International Bureau.
 - c) ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d) ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C.371(c)(3)].
9. ☐ An oath or declaration of the inventor(s) [35 U.S.C.371(c)(4)]. **UNSIGNED**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C.371(c)(5)].

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Supplemental Information Disclosure Statement under 37 C.F.R. 1.97 and 198.
12. ☐ An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ (other items or information) **INTERNATIONAL PRELIMINARY EXAMINATION REPORT** and PTO-1449 w/2 references and International Search Report

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D. C. 20231, on January 25, 2000.

By: Friedrich Kueffner Date: January 25, 2000

17. The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]:

- Search Report has been prepared by the EPO or JPO..... \$ 930.00
- International preliminary examination fee paid to USPTO [37 CFR 1.482]..... \$ 700.00
- No International preliminary examination fee paid to USPTO [37 CFR 1.482]
but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... \$ 760.00
- Neither International preliminary examination fee [37 CFR 1.482] nor
International search fee [37 CFR 1.445(a)(2)] paid to USPTO:..... \$ 970.00
- International preliminary examination fee paid to USPTO [37 CFR 1.482]
and all claims satisfied provisions of PCT Article 33 (2) to (4):..... \$ 96.00

ENTER APPROPRIATE BASIC FEE AMOUNT: \$

Surcharge of \$ 130.00 for furnishing the oath or declaration later than 20 30 months
from the earliest claimed priority date [37 CFR 1.492(e)]

Claims	filed	Extra	Rate
Total Claims	19	-20=	x \$ 18.=
Indep. Claims	1	- 3=	x \$ 78.=
Multiple Dependent Claims (if applicable) + \$ 260.=			

TOTAL OF ABOVE CALCULATIONS: \$

Reduction by $\frac{1}{2}$ for filing by small entity, if applicable. Verified Small Entity
Statement must be filed also. [Note 37 CFR 1.9, 1.27, 1.28] (divided by 2)

SUBTOTAL: \$

Processing fee of \$ 130.00 for furnishing the English translation later than 20 30 months
from the earliest claimed priority date [37 CFR 1.492(f)]

TOTAL NATIONAL FEE: \$

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be
accompanied by an appropriate cover sheet [37 CFR 3.28, 3.31]. \$ 40.00 per property

TOTAL FEES ENCLOSED: \$

AMOUNT TO BE REFUNDED: Refunded \$

AMOUNT TO BE CHARGED: Charged \$

- a) A check in the amount of \$ to cover the above fees is enclosed.
- b) Please charge my Deposit Account No. 11-1835 in the amount of \$ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 11-1835. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must
be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO: Friedrich Kueffner
342 Madison Avenue
Suite 1921
New York, NY 10173

Friedrich Kueffner
Name


signature

29,482
Reg. No.

January 25, 2000
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

KO-33PCT

Applicant(s) : Norbert Becker
Serial No. : 09/402,581
Int. Filed : March 28, 1998
For : METHOD AND CIRCUIT FOR OPERATING AN
ELECTRICAL LIGHT

Assistant Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

S I R:

The attention of the Examiner and of the Patent and Trademark Office is hereby directed to the references cited in the International Search Report, a copy of which is attached.

Copies of the references are forwarded herewith and are listed on the attached Form PTO-1449.

With the submission of this admitted prior art, applicant thus complies with the duty of disclosure as set forth at 37 CFR 1.56.

Respectfully submitted,

FK:ml
Encls: PTOL-1449
w/ two (2) references

By F. Kueffner
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on January 25, 2000.

By: F. Kueffner
Friedrich Kueffner

Date: January 25, 2000